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PTO/SB/21 (08-03)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/688,077	
	Filing Date	October 13, 2000	
	First Named Inventor	Hirokazu SUGIHARA	
	Art Unit	1744	
	Examiner Name	D. Redding	
Total Number of Pages in This Submission	142	Attorney Docket Number	369212000131

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## ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form, submitted in duplicate (2 pages)	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input checked="" type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) submitted in triplicate (138 pages)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request (1 page)	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Return postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
<b>Remarks</b>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	MORRISON & FOERSTER LLP E. Thomas Wheelock - 28,825	(Customer No. 25226)
Signature	<i>E. Thomas Wheelock</i>	
Date	December 1, 2003	

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV333854291US, in an envelope addressed to: MS Appeal Brief - Patents; Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.  
Dated: December 1, 2003      Signature: *E. Thomas Wheelock* (E. Thomas Wheelock)



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FEE TRANSMITTAL for FY 2004		Complete if Known	
Effective 10/01/2003, Patent fees are subject to annual revision.		Application Number	09/688,077
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	October 13, 2000
TOTAL AMOUNT OF PAYMENT (\$)		First Named Inventor	Hirokazu SUGIHARA
2,010.00		Examiner Name	D. Redding
		Art Unit	1744
		Attorney Docket No.	369212000131
METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES	
<input checked="" type="checkbox"/> Deposit Account:		Large Entity Small Entity	
Deposit Account Number 03-1952		Fee Code Fee (\$) Fee Code Fee (\$)	
Deposit Account Name Morrison & Foerster LLP		Fee Description Fee Paid	
The Director is authorized to: (check all that apply)		1051 130 2051 65 Surcharge - late filing fee or oath	
<input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments		1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet.	
<input checked="" type="checkbox"/> Charge any additional fee(s) or any underpayment of fee(s)		1053 130 1053 130 Non-English specification	
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		1812 2,520 1812 2,520 For filing a request for ex parte reexamination	
FEE CALCULATION		1804 920* 1804 920* Requesting publication of SIR prior to Examiner action	
1. BASIC FILING FEE		1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action	
Large Entity Small Entity		1251 110 2251 55 Extension for reply within first month	
Fee Code Fee (\$) Fee Code Fee (\$)		1252 420 2252 210 Extension for reply within second month	
1001 770 2001 385 Utility filing fee		1253 950 2253 475 Extension for reply within third month	
1002 340 2002 170 Design filing fee		1254 1,480 2254 740 Extension for reply within fourth month	
1003 530 2003 265 Plant filing fee		1255 2,010 2255 1,005 Extension for reply within fifth month	
1004 770 2004 385 Reissue filing fee		1401 330 2401 165 Notice of Appeal	
1005 160 2005 80 Provisional filing fee		1402 330 2402 165 Filing a brief in support of an appeal	
SUBTOTAL (1) (\$) 0.00		1403 290 2403 145 Request for oral hearing	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1451 1,510 1451 1,510 Petition to institute a public use proceeding	
Total Claims 10 20** = 0 x 18 = 0.00		1452 110 2452 55 Petition to revive - unavoidable	
Independent Claims 1 3** = 0 x 86 = 0.00		1453 1,330 2453 665 Petition to revive - unintentional	
Multiple Dependent 290 = 0.00		1501 1,330 2501 665 Utility issue fee (or reissue)	
Large Entity Small Entity		1502 480 2502 240 Design issue fee	
Fee Code Fee (\$) Fee Code Fee (\$)		1503 640 2503 320 Plant issue fee	
1202 18 2202 9 Claims in excess of 20		1460 130 1460 130 Petitions to the Commissioner	
1201 86 2201 43 Independent claims in excess of 3		1807 50 1807 50 Processing fee under 37 CFR 1.17(q)	
1203 290 2203 145 Multiple dependent claim, if not paid		1806 180 1806 180 Submission of Information Disclosure Stmt	
1204 86 2204 43 ** Reissue independent claims over original patent		8021 40 8021 40 Recording each patent assignment per property (times number of properties)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent		1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a))	
SUBTOTAL (2) (\$) 0.00		1810 770 2810 385 For each additional invention to be examined (37CFR 1.129(b))	
**or number previously paid, if greater; For Reissues, see above		1801 770 2801 385 Request for Continued Examination (RCE)	
		1802 900 1802 900 Request for expedited examination of a design application	
		Other fee (specify)	
		*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 2,010.00	
SUBMITTED BY		(Complete if applicable)	
Name (Print/Type) E. Thomas Wheelock		Registration No. 28,825	
Signature E. Thomas Wheelock		Telephone (650) 813-5739	
		Date December 1, 2003	